



1300 North State Street
 Bellingham, WA 98225
 Toll free: (800) SATURNA

Non-ACAT Asset Transfer Form

Use this form to authorize the transfer of assets currently at another firm to your Saturna account. **Please complete one form for each account you are transferring and include a copy of your most recent account statement from your current firm.** Transfers may require additional paperwork from your current firm, especially retirement plans. Please check with your plan administrator. Mail the completed form(s) and other documents to Saturna Capital, P.O. Box N, Bellingham, WA 98227-0596

Your Account Information: The registration of the account being transferred must match your Saturna account (i.e. Joint to Joint). If the registrations do not match, please include the appropriate account application with this request.

Saturna Registration Number:

Clearing Firm Account Number (if applicable):

Account Owner / Custodian / Trustee:

Joint Owner / Minor / Name of Trust:

Daytime Telephone:

Email:

MEDALLION SIGNATURE GUARANTEE

Account Being Transferred (Primary Social Security or Tax ID Number):

Name of Firm currently holding your account:

Firm's Address:

Firm's Phone Number:

Account Owner / Custodian / Trustee:

Joint Owner / Minor / Name of Trust:

Account Number:

Account Type at Saturna (check one):

- Individual
- Joint
- Trust
- Corporate/Business
- Custodial/UGMA/UTMA
- Traditional IRA
- Roth IRA
- SEP IRA
- SIMPLE IRA
- Other: _____

Account Type being transferred to Saturna (check one):

- Individual
- Joint
- Trust
- Corporate/Business
- Custodial/UGMA/UTMA
- Traditional IRA
- Roth IRA
- SEP IRA
- SIMPLE IRA
- Other: _____

Transfer To Saturna:

- Transfer all assets to Saturna (unless indicated otherwise, money markets will be liquidated and all other shares transferred in kind).*
- Liquidate all assets in account and send proceeds to Saturna.
- Transfer only a portion of account as detailed below (continue on a separate sheet if necessary):

Description of security(ies) - please include ticker symbols	# of shares or "all"	Transfer shares*	Sell Assets & Transfer Cash
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>

*Unless instructed otherwise, Saturna will use this option as a default for executing this transfer and establishing your assets at Saturna.

Signatures:

Owner / Custodian / Trustee Signature _____ Date _____

Joint Owner / Plan Administrator / Trustee Signature _____ Date _____

Saturna Use Only

Accepted by _____ Date _____