



Education Savings Account Transfer Form

Complete this form to request a liquidation of securities and transfer of cash from an existing ESA to Saturna Capital.
To transfer Amana or Saturna shares from another custodian to Saturna, please use Saturna's Non-ACAT Transfer Form.
To transfer other securities in-kind, please use Pershing's Account Transfer Form.

Note: an existing brokerage account is necessary to transfer securities in-kind.

Mail original completed forms (email or fax is not acceptable), copy of your photo ID, and copy of the most recent statement from your existing ESA to:

**Saturna Capital
P.O. Box N
Bellingham, WA 98227-0596**

Description of ESA to be transferred

Responsible Party

Full Legal Name Preferred Salutation (optional): Mr. Mrs. Ms. Dr.

Responsible Party's Social Security or Tax ID Number

Beneficiary's Social Security Number

Address (as it appears on your statement)

City

State

Zip

Preferred Phone

Custodian Firm currently holding your account:

Custodian Firm's Address

City

State

Zip

Custodian Firm's Phone Number

Account Number (at current Custodial Firm):

To Current Custodian

I authorize you to (choose only one option):

Liquidate (sell) ALL Assets

Liquidate (sell) PART

\$

and send proceeds to the Saturna Capital ESA I have established with Saturna Trust Company (EIN 26-3918998), a qualified Trustee under IRS Regulation 1.401-12(n).

Note: Liquidation for transfer is a non-taxable event.

Signature:

MEDALLION SIGNATURE GUARANTEE

Responsible Party

Date

Please continue to Investment Selection on next page.

ESA Transfers *(continued)*

Investment Selection Instructions

- Open a new Saturna Capital ESA for me. I have completed the Saturna ESA Application and enclosed a copy of a recent statement of the account to be transferred.
- Consolidate my ESAs by depositing proceeds from this transfer to my existing Saturna Capital ESA.

Saturna Registration Number [†]

Saturna Brokerage Account Number [†]

[†] *If available*

Investment Allocation*

| | | | | |
|---|-------------------------|----|----------------------|---|
| <input type="checkbox"/> Amana Growth <i>Institutional</i> | \$ <input type="text"/> | or | <input type="text"/> | % |
| <input type="checkbox"/> Amana Developing World <i>Institutional</i> | \$ <input type="text"/> | or | <input type="text"/> | % |
| <input type="checkbox"/> Amana Income <i>Institutional</i> | \$ <input type="text"/> | or | <input type="text"/> | % |
| <input type="checkbox"/> Amana Participation <i>Institutional</i> | \$ <input type="text"/> | or | <input type="text"/> | % |
| <input type="checkbox"/> Saturna Growth Z Shares | \$ <input type="text"/> | or | <input type="text"/> | % |
| <input type="checkbox"/> Saturna International Z Shares | \$ <input type="text"/> | or | <input type="text"/> | % |
| <input type="checkbox"/> Saturna Core | \$ <input type="text"/> | or | <input type="text"/> | % |
| <input type="checkbox"/> Saturna Global High Income | \$ <input type="text"/> | or | <input type="text"/> | % |
| <input type="checkbox"/> Saturna Short-Term Bond | \$ <input type="text"/> | or | <input type="text"/> | % |
| <input type="checkbox"/> Saturna Bond Income | \$ <input type="text"/> | or | <input type="text"/> | % |
| <input type="checkbox"/> Saturna Sustainable Equity | \$ <input type="text"/> | or | <input type="text"/> | % |
| <input type="checkbox"/> Saturna Sustainable Bond | \$ <input type="text"/> | or | <input type="text"/> | % |

* To link a bank account and/or set up automatic investment, complete the Saturna *Electronic Funds Transfer Form* (found on www.saturna.com/forms#/saturna-capital)

Custodian Acceptance

Saturna Trust Company will accept the assets described herein and credit them to the selected Saturna Capital ESA for which we are Trustee/Custodian. Please liquidate and transfer from fiduciary to fiduciary as authorized above.

Signatures:

Custodian / Trustee Signature

Date

Checklist of Items for Your ESA Transfer

To help avoid any processing delays, please be sure the following actions have been completed prior to mailing this request:

- Contact current custodian for any exit paperwork or other required steps to complete the transfer.
- Fill out this form in its entirety and sign it. **Digital signatures are not accepted.**
- Enclose a copy of your government-issued photo ID with signature.
- Enclose a copy of your most recent statement from current custodian.

Mail original completed forms:

Saturna Capital
P.O. Box N
Bellingham, WA 98227-0596

If at any time you have questions or need help filling out this form, please call us toll-free at **1-800-SATURNA (1-800-728-8762)**.